

Locality, neutrality, and contrast: A new resolution to the Votic paradox

Daniel Currie Hall, Saint Mary's University

NELS 48 • HÁSKÓLI ÍSLANDS • OKTÓBER 2017

Outline

- 1 Introduction
- 2 The paradox illustrated
- 3 Theoretical questions
- 4 The contrastive status of /i/
- 5 Proposal: A new resolution to the paradox
- 6 Consequences

1 Introduction

1.1 The language

Votic (also Vod, Votian; endonym *Vad̄da t̄seeli* or *Vad̄da ceeli*; ISO-639 code *vot*):

- Uralic ► Finno-Ugric ► Finnic ► Votic
- Spoken in western Russia (four villages in Leningrad Oblast; Kuznetsova et al. 2015: 135)
- “The total number of Votic speakers now could be 6 to 10” (Heinsoo & Kuusk 2011: 172).
- “At present, Votic is almost never used as a means of communication” (Kuznetsova et al. 2015: 137).



Figure 1: Location of Votic

1.2 The paradox

The Votic paradox, identified by Blumenfeld & Toivonen (2016):

- /i/ is transparent to vowel place harmony (as in Finnish).
 - ☛ This suggests that /i/ is **not** specified for place.
- But /i/ conditions a front allophone of /l/.
 - ☛ This suggests that /i/ is specified for place.

Blumenfeld & Toivonen's solution:

- Place is specified on /i/, but it is not contrastive.
- Non-contrastive features are 'weak,' and sometimes ignored (Calabrese 1995; Nevins 2010; Rhodes 2010).
- Harmony applies only to contrastive specifications.
- /l/ allophony is sensitive to all specifications.

My proposal:

- Place is contrastive on /i/ in Votic...
- ...but it is marked by a different feature from the one that participates in vowel harmony.

2 The paradox illustrated

2.1 Harmony

Front–back vowel pairs participate in place harmony, which propagates from left to right:

- (1) Front stem + ELATIVE /-ssA/ (Ahlqvist 1856; Ariste 1968)

- a. ylee-ssæ ‘cream’
 b. sòmæ-ssæ ‘eating’
 c. vævy-ssæ ‘son-in-law’
 d. sepæ-ssæ ‘smith’

	FRONT	BACK
HIGH RD	y	u
MID RD	ø	o
MID UNRD	e	ə
LOW UNRD	æ	ɑ

- (2) Back stem + ELATIVE /-ssA/ (Ariste 1968)

- a. udu-ssa ‘fog’
 b. vərkkō-ssa ‘net’
 c. roopa-ssa ‘porridge’
 d. vasara-ssa ‘hammer’

Table 1: Harmonizing vowel pairs

/i/, which has no native back counterpart, is transparent to harmony (data from Ahlqvist 1856; Ariste 1968):

- (3) /i/ in front stems + ELATIVE /-ssA/

- a. izæ-ssæ ‘father’
 b. tæi-ssæ ‘louse’
 c. pehmiæ-ssæ ‘soft’

- (4) /i/ in back stems + ELATIVE /-ssA/

- a. siħa-ssa ‘bridge, floor’
 b. poiga-ssa ‘boy, son’
 c. vəttime-ssa ‘key’

- (5) Front stem + PL. /-i/ + ELATIVE /-ssA/

- a. fivæ-i-ssæ ‘stones’
 b. seemen-i-ssæ ‘seeds’
 c. lyhy-i-ssæ ‘short’

- (6) Back stem + PL. /-i/ + ELATIVE /-ssA/

- a. su-i-ssa ‘mouths’
 b. ampa-i-ssa ‘teeth’
 c. lintu-i-ssa ‘birds’

One obvious way to account for this would be to say that frontness is simply not specified on /i/ at all.

2.2 /l/ allophony

But Blumenfeld & Toivonen (2016) show that the frontness of /i/ must be phonologically specified.

The lateral /l/ is normally clear [l] in words with front vowels and velarized [ɫ] in words with back vowels:¹

- (7) [l] in front-harmonic words (Ariste 1968)

- a. leppæ ‘alder’
 b. elæ: ‘to live’
 c. ellytæn ‘I pamper’
 d. miltinleḅ ‘some kind of’
 e. tʃylæ-llæ ‘village’ + ADESSIVE

- (8) [ɫ] in back-harmonic words (Ariste 1968)

- a. əɫuḁ ‘beer’
 b. xa:mołain ‘devil’
 c. pəłtołə-ssa: ‘field’ + TERMINATIVE
 d. miłta ‘from me’ (1SG.ABL.)
 e. poiga-ħa ‘boy, son’ + ADESSIVE

Before /i/, however, even in an otherwise back-harmonic word, /l/ is clear [l]:

- (9) [l] before /i/ in back-harmonic words (Blumenfeld & Toivonen 2016: 1171; Ariste 1968)

- a. əlimma ‘we were’
 b. tappəlikko ‘combative person’
 c. tuli-i-sə: ‘fire’ + PL. + ILLATIVE
 d. lintu-i-ħa ‘bird’ + PL. + ALLATIVE

So the frontness of /i/ is phonologically active.²

1. [ɫ] contrasts with palatal(ized) [ɭ] or [lʲ]; see Blumenfeld & Toivonen (2016: 1170) for discussion.

2. Forms like (8d) show that velarization of /l/ does not require an immediately following [+back] vowel (*pace* Černjanskij n.d.: 6).

2.3 /k/ palatalization

Further relevant evidence comes a pattern described by Odden (2005: 100–101).

The unrounded mid vowels /e/ and /ə/ raise (and front) to [i] word-finally:

(10)	Underlying /i/: No alternation			(11)	Underlying mid vowel: Final raising/fronting		
	PARTITIVE	NOMINATIVE		PARTITIVE	NOMINATIVE		
a.	si:li-æ	si:li	‘hedgehog’	a.	ʃi:ve-æ	ʃi:vi	‘stone’
b.	ʎusti-ɑ	ʎusti	‘pretty’	b.	jarvə-ɑ	jarvi	‘lake’

The [i] created by raising palatalizes a preceding /k/ to [tʃ]:

(12)	PARTITIVE	NOMINATIVE	
a.	kurkə-ɑ	kurtʃi	‘stork’
b.	əʎkə-ɑ	əʎtʃi	‘straw’
c.	kahkə-ɑ	kahʃi	‘birch’

Here, the frontness is both imposed on the vowel by a phonological process and transmitted from the vowel to the consonant.

3 Theoretical questions

3.1 Blumenfeld & Toivonen’s account

Blumenfeld & Toivonen (2016) propose to resolve the paradox as follows:

- /i/ is specified as [–back], like other front vowels in Votic.
- This feature can spread from /i/ to /l/.
- But the specification is ‘weak’ (Rhodes 2010), because it is not contrastive, because /i/ has no native phonemic [+back] counterpart.
- Harmony applies only to ‘strong’ (contrastive) specifications for [±back].
- Blumenfeld & Toivonen show that Span Theory (McCarthy 2004; O’Keefe 2007) can’t cope with /i/; their account is formulated in Agreement By Correspondence (Hansson 2001; Rose & Walker 2004).

3.2 How should locality be relativized?

Blumenfeld & Toivonen (2016: 1168): “Votic harmony is incompatible with strictly local theories.” Rhodes’s (2010) feature strength makes it possible to distinguish two degrees of relativization:

- | | | |
|------|----|--|
| (13) | a. | Harmony applies to segments within a contiguous domain. |
| | b. | Harmony applies to segments specified for the harmonizing feature within a contiguous domain. |
| | c. | Harmony applies to segments contrastively specified for the harmonizing feature within a contiguous domain. |

As in Calabrese (1995) and Nevins (2010):

- Both contrastive and non-contrastive features are specified, but they do not have equal status.
- Some patterns (like harmony) are sensitive only to contrastive feature values;
- others (like /l/ allophony) are sensitive to all feature values.

3.3 What is the role of contrast?

But what if we want to pursue the hypothesis that *only* contrastive features are specified (Steriade 1987; Mackenzie & Dresher 2004; Dresher 2009; Hall 2007, 2011; etc.)—i.e., that conditions (13b) and (13c) are necessarily identical?

- This has consequences for how we identify contrastive features (Archangeli 1988; Dresher 2009: ch. 2).
 - The existence of a minimally different segment (e.g., /i/ as a minimal [+back] counterpart to /i/) is a **sufficient** condition for a feature to be contrastive, but not a **necessary** one.
 - Archangeli (1988) shows that relying on minimal pairs will not consistently produce an adequate set of ‘contrastive’ specifications.
 - Dresher (2009) argues that contrastive features should instead be identified by successive division of the inventory.
 - When multiple features potentially distinguish two segments, the features’ relative scope in the contrastive hierarchy determines which one(s) will actually be specified.

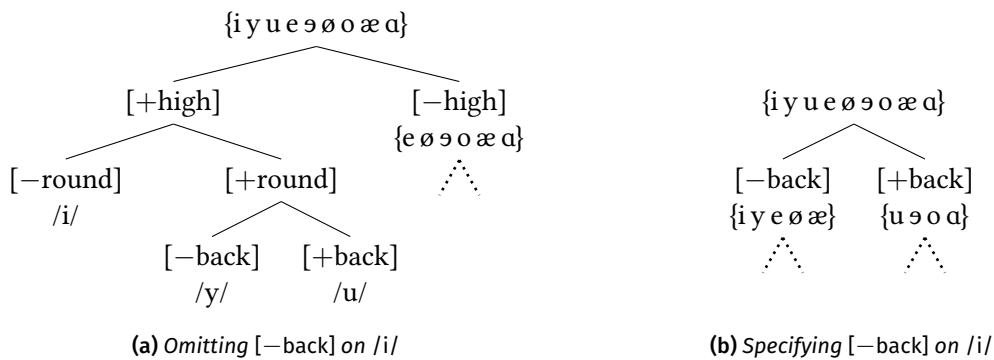


Figure 2: Two partial contrastive hierarchies for the inventory {i y u e ø ɘ o æ ɑ}

- As Nevins (2015: 59–60, 63) points out, the behaviour of /i/ in Votic looks like an “Oops, I Need That” problem for the Contrastivity-Only approach:
 - If we give [±back] narrow enough scope that it is not specified on /i/ (Figure 2a), we can’t account for the /l/ pattern.
 - If we give [±back] wide enough scope that it is contrastively specified on /i/ (Figure 2b), we can’t account for the transparency of /i/ to harmony.

Does this mean that we need to say (with Blumenfeld & Toivonen 2016; Rhodes 2010; Calabrese 1995; Nevins 2010, 2015) that contrastive features are special, but redundant features can sometimes be active, too?

4 The contrastive status of /i/

If the frontness of /i/ is phonologically active (as it seems to be in /l/ allophony), the Contrastivity-Only hypothesis predicts that it must be contrastive.

It is. Table 2 shows the complete vowel inventory (adapted from Ariste 1968: 1), which includes /i/.

	FRONT		BACK	
	UNRD	RD	UNRD	RD
HIGH	i	y	ɨ	u
MID	e	ø	ɘ	o
LOW	æ		ɑ	

Table 2: All the vowels of Votic

- /i/ occurs only in Russian loanwords (Ariste 1968: 1; Blumenfeld & Toivonen 2016: 1169 fn. 2).

- But loans are “well assimilated to Votic phonological and morphological patterns” (Harms 1987: 382).

(14) Russian borrowing inflected with harmonizing native suffix (Harms 1987: 382; Ariste 1968: 1)
 rinko-i-*Ha* ‘marketplace’+PL.+ADESSIVE (< Russian *рынок* /‘rinok/ [‘rinək] ‘marketplace’)

- We could say that borrowings from Russian are lexical exceptions to a high-ranking constraint against unrounded high back vowels.
- Even so, there needs to be a lexical contrast between /i/ and /i̯/, because they can co-occur within a loanword:

(15) [vi̯ifka] ‘embroidery’ (Ariste 1968: 1; < Russian *вышивка* /‘vi̯iʃivka/ [‘vi̯iʃifkə] ‘embroidery’)

This means that if the specification of frontness on /i/ is ‘weak’ in Votic, then this weakness follows from something less straightforward than a categorical lack of contrast between /i/ and /i̯/.

- Blumenfeld & Toivonen (2016: 1176) “loosely” identify feature strength with functional load (but go on to offer a formal definition in terms of minimally contrasting segments).
- We might also consider gradient degrees of contrastiveness (Hall 2009, 2013):
 - non-contrastive → unspecified
 - marginally contrastive → weakly specified
 - ‘fully’ contrastive → strongly specified

Hall (2009) proposes a way of quantifying contrastiveness, but also points out that there are no clear criteria for drawing a line between marginal and non-marginal contrasts.

5 Proposal: A new resolution to the paradox

5.1 Feature specifications

The feature that (contrastively) marks frontness on /i/ is not the same feature that is involved in harmony. Specifically:

- The frontness of /i/ is encoded by the place feature CORONAL.³
- All other vowels are marked for [±back], which harmonizes.

In the contrastive hierarchy:

- CORONAL must have wider scope than [±back], so that [−back] isn’t specified on /i/.
- [±back] must have wide enough scope that it will be specified on /i̯/, even though /i̯/ has no minimally different [−back] counterpart in the non-CORONAL subinventory.

(Figure 3 shows [±back] as the second division, immediately following CORONAL; what’s crucial is that [±back] takes scope over at least one of [±high] and [±round].)

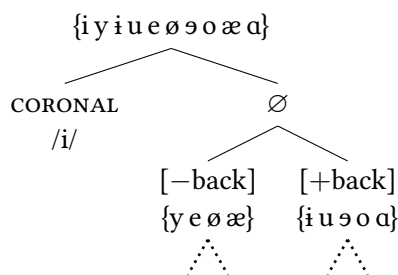


Figure 3: Partial contrastive hierarchy for Votic

3. I’m treating CORONAL as monovalent (Clements & Hume 1995: 252), but this is not crucial.

5.2 Processes

Harmony: Harmony spreads [\pm back] to vowels with an underlying specification for this feature (or, in OT terms, harmony requires all vowels specified for [\pm back] to agree).

/l/ allophony: Harmonic [+back] spreads to /l/ as a secondary articulation, but this is overridden by an immediately following CORONAL vowel. (I.e., place agreement between /l/ and /i/ {follows/outranks} propagation of [+back] to /l/.)

/k/ palatalization: Non-low unrounded vowels become CORONAL word-finally; derived /i/ spreads coronality to palatalize a preceding /k/.

5.3 Phonetic corroboration

Phonologically, the motivation for representing CORONAL /i/ differently from [$-$ back] /y e ø æ/ is that the frontness of /i/ interacts only with consonants, while [\pm back] is the feature that harmonizes on vowels.

But is it phonetically plausible to say that /i/ is CORONAL and other front vowels are not?

(Assumption: Substance use in moderation (Hall 2014; Dresher 2014). Phonological features do not have rigidly defined universal phonetic boundaries, but are also not wholly abstract and devoid of phonetic content.)

- Not much phonetic work on Votic is available.
- Ahlqvist (1856) and Ariste (1968) describe the vowels as similar to their Estonian counterparts (except for /i/, which doesn't have one).
- Estonian /i/ is articulated notably farther forward than the other front vowels, including its nearest rounded counterpart /y/ (Asu & Teras 2009: 368).
- If this is also true in Votic, it is plausible (though not inevitable) that /i/ bears a feature marking a degree of coronal constriction that other vowels lack.
- Černjavskij (n.d.: 8) suggests that intervocalic /i/ in Votic can be realized as [d^h] (but does not give details).

6 Consequences

If this analysis is on the right track, then the transparency of /i/ to Votic vowel harmony cannot be attributed to an absence of contrast with /i/.

- This proposal is consistent with the strong claim that a feature must be contrastive to be phonologically active—what Nevins (2015) calls the Contrastivity-Only Hypothesis:
 - The ability of /i/ to palatalize /k/ and override velarization of /l/ depends on the fact that the feature CORONAL distinguishes it from the other Votic vowels.
 - The transparency of /i/ to harmony follows from the fact that [$-$ back] is redundant if /i/ is already specified as CORONAL.
- There are actually other cases in Votic of vowels with harmonic counterparts exhibiting some form of neutrality (Ariste 1968; Blumenfeld & Toivonen 2016):
 - /o/ can follow front vowels (causing harmonizing vowels to its right to be back); in fact, /ø/ is rare in non-initial syllables, occurring mostly in loanwords from Finnish or Ingrian (Ariste 1968: 5).
 - There are some transparent instances of /e/.
- Harmony applies straightforwardly to all vowels specified for [\pm back] (modulo the exceptions with /o/ and /e/), rather than skipping over 'weak' instances of [$-$ back]: it is subject to normal conditions of relativized locality, without reference to the metafeatural property of strength.

References

- Ahlqvist, August. 1856. *Wotisk grammatik: Jemte språkprof och ordförteckning*. Helsinki: Friis.
- Archangeli, Diana. 1988. Underspecification in phonology. *Phonology* 5.2: 183–207.
- Ariste, Paul. 1968. *A grammar of the Votic language*. Bloomington: Indiana University.
- Asu, Eva Liina & Pire Teras. 2009. Estonian. *Journal of the International Phonetic Association* 39.3: 367–372.
- Blumenfeld, Lev & Ida Toivonen. 2016. A featural paradox in Votic harmony. *Natural Language & Linguistic Theory* 34.4: 1167–1180.
- Calabrese, Andrea. 1995. A constraint-based theory of phonological markedness and simplification procedures. *Linguistic Inquiry* 26.3: 373–463.
- Clements, G. N. & Elizabeth V. Hume. 1995. Internal organization of speech sounds. In John A. Goldsmith (ed.), *The handbook of phonology*, 245–306. Oxford: Blackwell.
- Černjavskij, Vitalij. n.d. *Vad'd'a tšeeli / Водский язык*. Syktyvkar: Finno-Ugric Cultural Center of the Russian Federation. URL <http://www.finnougoria.ru/prf%20info/samouchitel%20vodskogo%20yazyka1.pdf>.
- Dresher, B. Elan. 2009. *The contrastive hierarchy in phonology*. Cambridge: Cambridge University Press.
- Dresher, B. Elan. 2014. The arch not the stones: Universal feature theory without universal features. *Nordlyd* 41.2: 165–181.
- Hall, Daniel Currie. 2007. *The role and representation of contrast in phonological theory*. Ph.D. thesis, University of Toronto.
- Hall, Daniel Currie. 2011. Phonological contrast and its phonetic enhancement: Dispersedness without dispersion. *Phonology* 28.1: 1–54.
- Hall, Daniel Currie. 2014. Substance use in moderation: Contrast and content in phonological features. Presented at the 37th colloquium on Generative Linguistics in the Old World (GLOW), KU Leuven, Brussels, April 2014.
- Hall, Kathleen Currie. 2009. *A probabilistic model of phonological relationships from contrast to allophony*. Ph.D. thesis, The Ohio State University.
- Hall, Kathleen Currie. 2013. A typology of intermediate phonological relationships. *The Linguistic Review* 30.2: 215–275.
- Hansson, Gunnar. 2001. *Theoretical and typological issues in consonant harmony*. Ph.D. thesis, University of California, Berkeley.
- Harms, Robert T. 1987. What Helmholtz knew about neutral vowels. In Robert Channon & Linda Shockey (eds.), *In honor of Ilse Lehiste*, 381–399. Dordrecht: Foris.
- Heinsoo, Heinike & Margit Kuusk. 2011. Neo-renaissance and revitalization of Votic—who cares? *Journal of Estonian and Finno-Ugric Linguistics* 2.1: 171–184.
- Kuznetsova, Natalia, Elena Markus & Mehmed Muslimov. 2015. Finnic minorities of Ingria. In Heiko F. Marten, Michael Rießler, Janne Saarikivi & Reetta Toivanen (eds.), *Cultural and linguistic minorities in the Russian Federation and the European Union: Comparative studies on equality and diversity*, 127–167. Cham, ZG: Springer.
- Mackenzie, Sara & B. Elan Dresher. 2004. Contrast and phonological activity in the Nez Perce vowel system. *BLS* 29: 283–294.
- McCarthy, John J. 2004. Headed spans and autosegmental spreading. Ms., University of Massachusetts, Amherst. ROA #685.
- Nevins, Andrew Ira. 2010. *Locality in vowel harmony*. Cambridge, MA: MIT Press.
- Nevins, Andrew Ira. 2015. Triumphs and limits of the contrastivity-only hypothesis. *Linguistic Variation* 15.1: 41–68.
- Odden, David. 2005. *Introducing phonology*. Cambridge: Cambridge University Press.
- O'Keefe, Michael. 2007. Transparency in Span Theory. In Leah Bateman, Michael O'Keefe, Ehren Reilly & Adam Werle (eds.), *UMOP 32: Papers in Optimality Theory III*, 239–258. Amherst, MA: GLSA.
- Rhodes, Russell. 2010. Vowel harmony as agreement by correspondence. Ms., University of California, Berkeley. URL http://linguistics.berkeley.edu/~russellrhodes/pdfs/abc_vh.pdf.
- Rose, Sharon & Rachel Walker. 2004. A typology of consonant agreement as correspondence. *Language* 80.3: 475–531.
- Steriade, Donca. 1987. Redundant values. *CLS* 23.2: 339–362.